

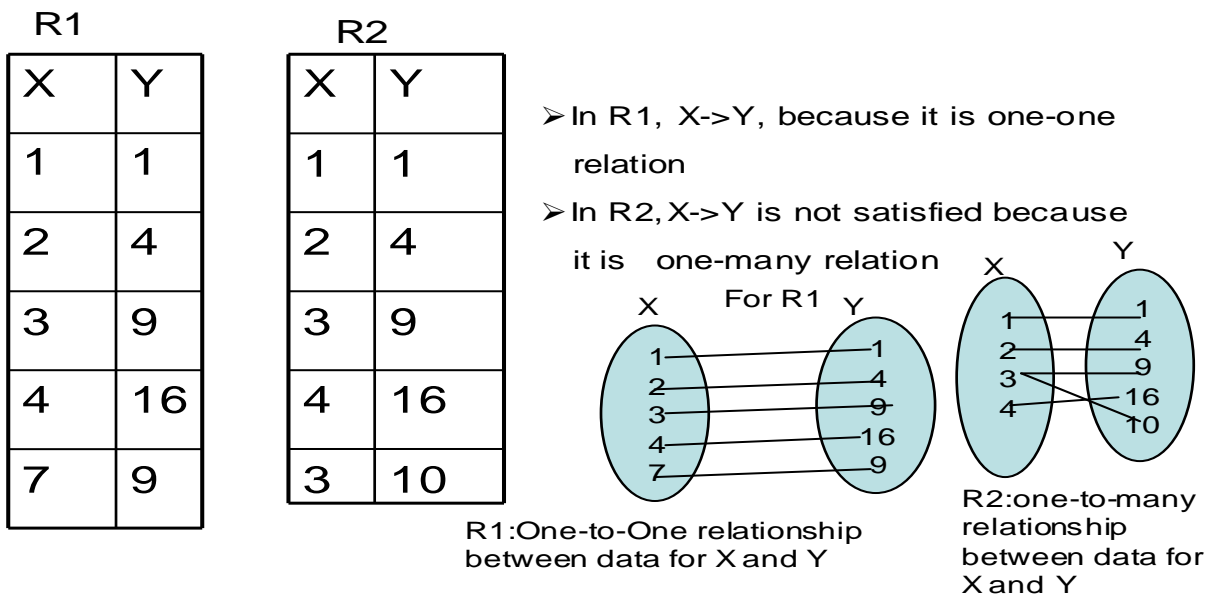
Module 2 (16 hours)

FUNCTIONAL DEPENDENCY(FD) (Maier,1983)

Definition: If X and Y are attributes of a relation R, then Y is said to be functionally dependent on X (or Y is functionally determined by X or X functionally determines Y), denoted by $X \rightarrow Y$, if each value of X is associated with exactly one value of Y so that for any two tuples t1 and t2 in relation state r of R, we have

- $X \rightarrow Y \Rightarrow (t1[X]=t2[X] \Rightarrow t1[Y]=t2[Y])$
- L.H.S.(=X) is known as determinant
- R.H.S.(=Y) is known as determined

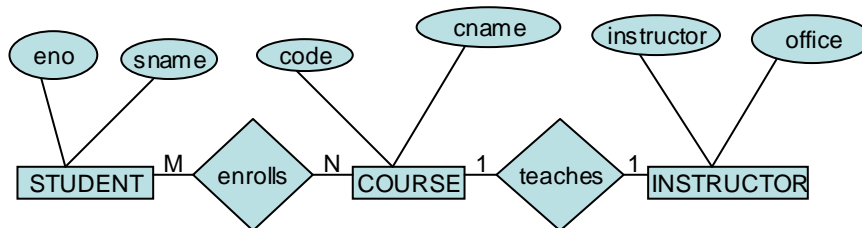
Examples-FUNCTIONAL DEPENDENCY(FD)



- $X \rightarrow Y$ (i.e. Y is functionly dependent on X) \Leftrightarrow There exists one-to-one and many-to-one relationships (associations) between data values of attributes X and Y
- Y is notfunctionally dependent on X if there exists one-to-many and many-to-many relationships (associations) between data values of attributes X and Y
- A functional dependency is a constraint between two sets of attributes from the database(i.e. Data inter-relationship in a relation or relationship between attributes)
- FD is a property of the semantics or meaning of the attributes.
- FD is a property of a relational schema(intension) and not a property of a particular instance of the schema(extension).
- If $X \rightarrow Y$ in R, this does not say whether or not $Y \rightarrow X$ in R
- **FD in relationships:** If X and Y be the primary keys of two different entities or tables and the two entities have relationships(1:1, M:1, 1:N, M:N), then we must have the following conclusions:
 - For one-to-one (1:1)relationships: $X \rightarrow Y$ and $Y \rightarrow X$
 - For many-to-one (M:1)relationship(with X on many side): $X \rightarrow Y$ but not $Y \rightarrow X$
 - For one-to- many(1:M)and many-to-many(M:N) relationship: No FD exists.

FD in ER diagrams

- ER diagram for student course teacher



(i) FD in entities -: for student entity, eno->sname,address

for course entity, code->cname

for instructor entity, instructor-> office

(ii)FD in relationships -:for enrolls relationships, No FD exists as it is many-many

for teaches relationships , code->instructor and instructor-> office

- **Exercise:** Below is an instance of R(A1,A2,A3,A4). Choose the FD which may hold on R.
 mm1.A4->A1 , 2.A2A3->A4 , 3.A2A3->A1 .

A1	A2	A3	A4
1	2	3	4
1	2	3	5
6	7	8	2
2	1	3	4

Solution: 1.A4->A1 is incorrect: The 1st and 4th tuple violates it.

2. A2A3->A4 is incorrect: The 1st and 2nd tuple violates it.

3. A2A3 -> A1 is correct.

REDUNDANCY AND ASSOCIATED PROBLEMS

- **Anomalies(or database anomalies):** Relations(or tables) that that have redundant data may have problems of wastage of memory space called as anomalies.
- **Classification (or types) of anomalies:**
 - Update(or modification) anomaly
 - Insertion anomaly
 - Deletion anomaly
 - **Update anomaly:** This anomaly is caused due to the data redundancy. Redundant information makes updates more difficult. An update anomaly results in data inconsistency.
 - **Insertion anomaly:** This anomaly is caused due to the inability to represent certain information.
 - **Deletion anomaly:** This anomaly is caused due to the loss of information.
- Illustration of anomalies through example: Consider the relation “STUDENT” as shown in figure below:

Eno	sname	address	code	cname	instructor	Office
05112345	RAHUL	RANCHI	MCS-011	PROBLEM SOLUTION	NAYAN KUMAR	102
05112345	RAHUL	RANCHI	MCS-012	COMPUTER ORGANIZATION	ANURAG SHARMA	105
05112345	RAHUL	RANCHI	MCS-014	SAD	PREETI ANAND	103
0511341	APARANA	GURGAON	MCS-014	SAD	PREETI ANAND	103

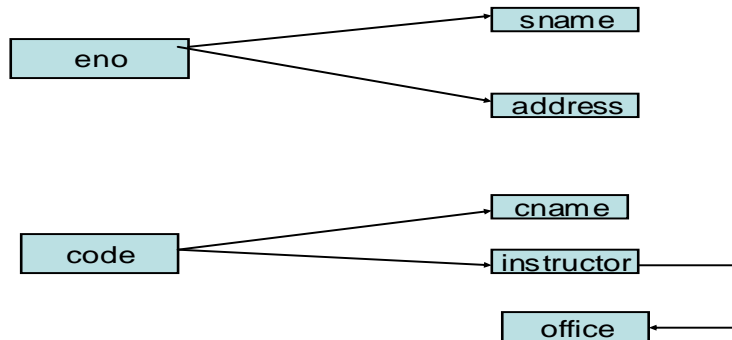
- **Update anomaly:** Changing the name of the instructor of MCS-014 would require that all tuples containing MCS-014 would require that all tuples containing MCS-014 enrolment information be updated. If for some reason, all tuples are not updated, we might have a database that gives two names of instructor for the subject MCS-014 which is inconsistent information. This problem is called update anomaly.
- **Insertion anomaly:** If one wanted to insert the code and name of a new course in the database 'STUDENT', it would not be possible until a student enrolls in that course. Information about a new student can't be inserted in the database until the student enrolls in a course. These problems are called insertion anomalies.
- **Deletion anomaly:** In 'STUDENT' relation, if we delete the tuple corresponding to student '05011341' enrolled for MCS-014, we will lose relevant information about the student like eno, snme and address of this student. Deletion of tuple having sname "RAHUL" and cno MCS-012" will result in loss of information that MCS-012 is named computer organization having an instructor "Anurag Sharama", whose office number is 105. These are called deletion anomalies.
- The anomalies arise primarily because the relation "STUDENT" has information about students as well as subjects.
- Solution to the database anomalies: One solution to the anomaly problems is to decompose the relation into two or more small relations. The basis of this decomposition is determined by data inter-relationship. Data inter-relationship is determined by the concept of functional dependency. The root cause of the presence of anomalies in a relation is determined by the components of the key and non-key attributes.
- Hence {eno}->{sname,address}, {code}->{cname,instructor},{instructor}->{office}
- Now the smaller tables are:

Eno	sname	address
05112345	RAHUL	RANCHI
0511341	APARANA	GURGAON

Code	cname	instructor
MCS-011	PROBLEM SOLUTION	NAYAN KUMAR
MCS-012	COMPUTER ORGANIZATION	ANURAG SHARMA
MCS-014	SAD	PREETI ANAND

insructor	office
NAYAN KUMAR	102
ANURAG SHARMA	105
PREETI ANAND	103

Normalization involves decomposition of a relation into smaller relations based on the concept of functional dependencies to overcome undesirable anomalies



Pictorial representation of FDs

- **Trivial and Non-trivial FDs**

- $X \rightarrow Y$ is trivial iff $Y \subseteq X$ otherwise $X \rightarrow Y$ is non-trivial
- Non trivial FDs represent integrity constraints for the relation

- Main characteristics of F.D.'s that we use in normalization: Functional dependencies

- have one-to-one relationship between attribute(s) on L.H.S. and R.H.S of the FD
- holds for all time
- are non-trivial

- **Closure of functional dependencies(F^+):**

Definition: Closure of a set F of FDs is defined as the set F^+ of all FDs that include F as well as all dependencies that can be inferred from F . If the functional dependency $X \rightarrow Y$ is inferred from the set of functional dependencies F then we say that F logically implies(\models) $X \rightarrow Y$, denoted by $F \models X \rightarrow Y$. i.e. $F^+ = \{X \rightarrow Y \mid F \models X \rightarrow Y\}$

- **Armstrong's axioms(or Armstrong's inference rules) (Armstrong-1974)**

➤ If X , Y and Z be the subsets of the attributes of a relation R , then Armstrong's axioms are as follows:

- IR1. (**Reflexive or self determination rule**): If $Y \subseteq X$, then $X \rightarrow Y$ or $X \rightarrow X$
- IR2. (**Augmentation rule**): If $X \rightarrow Y$, then $XZ \rightarrow YZ$ or $XZ \rightarrow Y$
(Notation: XZ stands for $X \cup Z$)
- IR3. (**Transitive**): If $X \rightarrow Y$ and $Y \rightarrow Z$, then $X \rightarrow Z$

- **Inference rules using Armstrong's axioms are as follows:**

- IR4. (**Decomposition or Projective rule**): If $X \rightarrow YZ$, then $X \rightarrow Y$ and $X \rightarrow Z$
- IR5. (**Union or additive rule**): If $X \rightarrow Y$ and $X \rightarrow Z$, then $X \rightarrow YZ$
- IR6. (**Pseudotransitivity rule**): If $X \rightarrow Y$ and $WY \rightarrow Z$, then $WX \rightarrow Z$
- IR7. (**Composition rule**): If $X \rightarrow Y$, $Z \rightarrow W$, then $XZ \rightarrow YW$
- IR8. (**Self accumulation rule**): If $X \rightarrow YZ$, $Z \rightarrow W$, then $X \rightarrow YZW$

- **Proof of Armstrong's Axiom and their additional inference rules :**

- **Prof of IR1.**(i) If $Y \subseteq X$ and for any two tuples $t1$ and $t2$ that exist in some relation instance r of R such that $t1[X]=t2[X] \Rightarrow t1[Y]=t2[Y]$, then $X \rightarrow Y$ must hold in r of R . (Proved)

(ii) **Y is subset of X** \Rightarrow **X \rightarrow Y**. If $Y=X$ then **X \subseteq X** \Rightarrow **X \rightarrow X**. (Proved).

o **Proof of IR2**. (By contradiction method):

(i) Let us assume that **X \rightarrow Y** holds in a relation instance r of R but **XZ \rightarrow YZ** doesn't hold. then there must exist two tuples t_1 and t_2 in r such that

(1) $t_1[X]=t_2[X]$

(2) $t_1[Y]=t_2[Y]$

(3) $t_1[XZ]=t_2[XZ]$

(4) $t_1[YZ] \neq t_2[YZ]$

$X \rightarrow Y \Rightarrow t_1[X]=t_2[X] \Rightarrow t_1[Y]=t_2[Y]$

$XZ \rightarrow YZ$ does not hold good $\Rightarrow t_1[XZ]=t_2[XZ] \Rightarrow t_1[YZ] \neq t_2[YZ]$

From (1) and (3) we deduce

(5) $t_1[Z]=t_2[Z]$

From (2) and (5) we deduce

(6) $t_1[YZ]=t_2[YZ]$, contradicting (4)

So **X \rightarrow Y \Rightarrow XZ \rightarrow YZ** (Proved)

o (ii) Let us assume that **X \rightarrow Y** holds but **XZ \rightarrow Y** doesn't hold. Then we must have:

$X \rightarrow Y \Rightarrow t_1[X]=t_2[X] \Rightarrow t_1[Y]=t_2[Y]$

$XZ \rightarrow Y$ does not hold good $\Rightarrow t_1[XZ]=t_2[XZ] \Rightarrow t_1[Y] \neq t_2[Y]$

(1) $t_1[X]=t_2[X]$

(2) $t_1[Y]=t_2[Y]$

(3) $t_1[XZ]=t_2[XZ]$

(4) $t_1[Y] \neq t_2[Y]$

(2) and (4) gives the contradiction.

So **X \rightarrow Y \Rightarrow XZ \rightarrow Y** (Proved)

o **Proof of IR3**: Let us assume that **X \rightarrow Y** and **Y \rightarrow Z** both holds in relation instance r of R .

Then for any two tuples t_1 and t_2 in r of R we must have $t_1[X]=t_2[X] \Rightarrow t_1[Y]=t_2[Y]$ and $t_1[Y]=t_2[Y] \Rightarrow t_1[Z]=t_2[Z]$. Now we can write:

$t_1[X]=t_2[X] \Rightarrow t_1[Z]=t_2[Z] \Rightarrow X \rightarrow Z$.

Hence **X \rightarrow Y, Y \rightarrow Z \Rightarrow X \rightarrow Z** (Proved)

o **Proof of IR4**: (1) **X \rightarrow YZ** (Given)

(2) **YZ \rightarrow Y** (because: **Y subset YZ \Rightarrow YZ \rightarrow Y**, by reflexivity rule/IR1)

(3) **X \rightarrow Y** (because: **X \rightarrow YZ, YZ \rightarrow Y \Rightarrow X \rightarrow Y**, by transitivity rule/IR3)

(4) **X \rightarrow YZ** (Given)

(5) **YZ \rightarrow Z** (because: **Z subset of YZ \Rightarrow YZ \rightarrow Z**, by reflexivity rule/IR1)

(6) **X \rightarrow Z** (because: **X \rightarrow YZ, YZ \rightarrow Z \Rightarrow X \rightarrow Z**, by transitivity rule/IR3)

From (3) and (6) it is clear that **X \rightarrow YZ \Rightarrow X \rightarrow Y, X \rightarrow Z**. (Proved).

o **Proof of IR5**: (1) **X \rightarrow Y** (Given)

(2) **X \rightarrow Z** (Given)

(3) **X \rightarrow XY** (because: **X \rightarrow Y \Rightarrow XX \rightarrow XY \Rightarrow X \rightarrow XY**, by augmentation rule applied to (1) by X/IR2 and **XX=X**)

(4) **XY \rightarrow YZ** (because: **X \rightarrow Z \Rightarrow XY \rightarrow YZ**, by augmentation rule applied to (2) by Y/ IR2)

(5) **X \rightarrow YZ** (because: **X \rightarrow XY, XY \rightarrow YZ \Rightarrow X \rightarrow YZ**, by transitivity rule applied to (3) and (4)/IR3)

So **X \rightarrow Y, X \rightarrow Z \Rightarrow X \rightarrow YZ**. (Proved)

o **Proof of IR6**: (1) **X \rightarrow Y** (Given)

(2) **WY \rightarrow Z** (Given)

(3) **WX \rightarrow WY** (because: **X \rightarrow Y \Rightarrow WX \rightarrow WY**, by augmentation rule/IR2)

(4) **WX \rightarrow Z** (because: **WX \rightarrow WY, WY \rightarrow Z \Rightarrow WX \rightarrow Z**, by transitivity/IR3)

So **X \rightarrow Y, WY \rightarrow Z \Rightarrow WX \rightarrow Z** (Proved)

o **Proof of IR7**: (1) **X \rightarrow Y** (Given)

(2) **XZ \rightarrow YZ** (By augmentation rule/IR2 applied to (1) by Z)

(3) **Z \rightarrow W** (Given)

(4) **YZ \rightarrow YW** (By augmentation rule/IR2 applied to (3) by Y)

(5) $XZ \rightarrow YW$ (By transitivity rule/IR2 applied to (2) and (4))

So $X \rightarrow Y, Z \rightarrow W \Rightarrow XZ \rightarrow YW$ (Proved)

○ **Proof of IR8:** Given $X \rightarrow YZ \Rightarrow X \rightarrow Y, X \rightarrow Z$ (By decomposing rule/IR4)

$X \rightarrow Z, Z \rightarrow W \Rightarrow X \rightarrow W$ (By transitivity rule/IR3)

$X \rightarrow YZ, X \rightarrow W \Rightarrow X \rightarrow YZW$ (By union rule/IR5)

$X \rightarrow YZ, Z \rightarrow W \Rightarrow X \rightarrow YZW$ (Proved)

• **Armstrong's Axioms(IR1,IR2, and IR3) are sound and complete**

• **Armstrong's Axioms are sound**

Given a set of functional dependencies F specified on a relation schema R, any dependency that we can infer from F by using Armstrong's axiom(IR1 through IR3) holds in every relation r of R that satisfies the dependencies in F.

• **Armstrong's Axioms are complete**

Using inference rules IR1 through IR3 (Armstrong's Axiom) repeatedly to infer dependencies until no more dependencies can be inferred results in the complete set of all possible dependencies that can be inferred from F.

Or

The set of dependencies can be determined from F by using only inference rules (IR1,IR2 and IR3)of Armstrong.

• **Solved Problems on Closure of functional dependencies(F^+):**

Q.1. If $R=(A,B,C,D)$ and $F=\{A \rightarrow B, A \rightarrow C, BC \rightarrow D\}$ then prove that $F \models A \rightarrow D$.

Solution: $\{A \rightarrow B, A \rightarrow C\} \models A \rightarrow BC$ (By union rule-IR5)

$\{A \rightarrow BC, BC \rightarrow D\} \models A \rightarrow D$ (By transitivity rule-IR3)

$\Rightarrow F \models A \rightarrow D \Rightarrow A \rightarrow D$ is in F^+ . (Proved).

Q.2.If $F=\{W \rightarrow X, X \rightarrow Y, W \rightarrow XY\}$ then find the closure of functional dependencies(F^+).

Solution: $F^+ = \{ W \rightarrow X, X \rightarrow Y, W \rightarrow XY, W \rightarrow W, X \rightarrow X, Y \rightarrow Y, W \rightarrow Y\}$

because $W \rightarrow W, X \rightarrow X, Y \rightarrow Y$ are obtained by reflexivity rule(self determination rule-IR1) and $W \rightarrow X, X \rightarrow Y \Rightarrow W \rightarrow Y$ by transitivity rule(IR3). Then

$F^+ = F \cup \{ \text{Inference rules obtained from } F \}$

$= \{W \rightarrow X, X \rightarrow Y, W \rightarrow XY\} \cup \{ X \rightarrow X, Y \rightarrow Y, W \rightarrow W, W \rightarrow Y\}$

$= \{W \rightarrow W, X \rightarrow X, Y \rightarrow Y, W \rightarrow Y, W \rightarrow X, X \rightarrow Y, W \rightarrow XY\}$.(Answer).

• **Closure of attribute X under set of FD F:**

Definition: Closure of a set of attribute(s) X with respect to the set of functional dependencies F is the set X^+ of all attributes $\{ A_1, A_2, \dots, A_m \}$ that are functionally determined by X based on F such that $X \rightarrow A_i$ (A_i belongs to X^+) can be calculated by repeatedly applying Armstrong's axiom(IR1,IR2,and IR3).

• **Lemma: $F \models X \rightarrow Y \Leftrightarrow Y \subseteq X$**

• **Attribute Closure Algorithm(To compute the closure of attribute X (X^+) under fd F)**

$X^+ := X$;// according to reflexivity

repeat

{

 old $X^+ = X^+$;

 for (each fd $Y \rightarrow Z \in F$)

 {

 if ($Y \subseteq X^+$)

$\{ X^+ = X^+ \cup Z$;//according to augmentation & transitivity

 }

 }

 } until ($X^+ = \text{old}X^+$)

• **Time complexity of Attribute Closure Algorithm**

○ If a and f are the number of attributes and functional dependencies present in the set F and each FD in F involves only one attribute on R.H.S., then the inner

for loop will be executed at most 'f' times, one for each FD in F, and each such execution can take the time proportional to 'a' to check if one set is contained in another set.

- The order of execution of the for loop is $O(af)$
- The while loop can be repeated at most 'f' times and so the time complexity of the attribute closure algorithm is given by $O(af^2)$

- **Membership algorithm(Testing if an FD is in a closure)**

Input: A set of functional dependencies F and the functional dependency $X \rightarrow Y$

Output: Is $X \rightarrow Y \in F^+$ or not

Compute X^+ using closure algorithm

If $Y \subseteq X^+$ then $X \rightarrow Y \in F^+ := \text{true}$ else $X \rightarrow Y \in F^+ := \text{false}$

- **Tricks for finding the keys from a set of functional dependencies:**

- If an attribute never appears on the R.H.S. of any FD, it must be part of the key.
- If an attribute never appears on the L.H.S. of any FD, but appears on the R.H.S. of any FD, it must not be the part of any key.
- If the closure of any attribute(s) X in a relation R is equal to the set of all the attributes in the relation ($X \rightarrow R$ i.e $X^+ = R$), then X is super key of the relation.
- The super key X is said to be the candidate key of the relation R if the closures of all the proper subsets of X is not equal to the set of all the attributes in the relation .
(i.e. Candidate key is a super key whose all proper subsets are not unique)
($A \subseteq X \Rightarrow A^+ \neq R$ but $A^+ \subseteq R$, where A is the proper subset of attribute X).

- **To find candidate keys using Closure of attributes**

Question1: We are given a relation R(A,B,C,D,E) and the functional dependencies (FDs) amongst its attributes $F = \{A \rightarrow B, AC \rightarrow D, B \rightarrow E\}$ and asked to find the candidate key(s) of R.

Solution1: Find out the closure of each determinant (L.H.S.)of F . The determinants are {A}, {AC}, and {B}

To see if determinate A is a super key using attribute closure algorithm

<pre> X+ := {A} oldX+ := {A} for A -> B, A ⊆ X+ so B is added to X+ for AC -> D, AC is not a subset of X+ for B -> E, B ⊆ X+ so E is added to X+ oldX+ != X+ repeat oldX+ := {A,B,E} for A -> B, A ⊆ X+, B is already in X+ for AC -> D, AC is not a subset of X+ for B -> E, B ⊆ X+, E is already in X+ X+ = oldX+ stop </pre>	<pre> X+ is {A} X+ is now {A,B} X+ is still {A,B} X+ is now {A,B,E} X+ is still {A,B,E} X+ is still {A,B,E} X+ is still {A,B,E} </pre>
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The closure of {A} over F is {A,B,E} which is a proper subset of R. Therefore, {A} is *not* a super key of R and *cannot* be a candidate key for R.

To see if determinate AC is a superkey using attribute closure algorithm

<pre> X+ := AC oldX+:= {A,C} for A -> B, A is a subset of X+ so B is added to X+ for AC -> D, AC is a subset of X+ so D is added to X+ for B -> E, B is a subset of X+ so E is added to X+ oldX+ != X+ repeat oldX+:= {A,C,B,D,E} for A -> B, A is a subset of X+, B is already in X+ for AC -> D, AC is not a subset of X+ for B -> E, B is a subset of X+, E is already in X+ X+ = oldX+ stop </pre>	<pre> X+ is {A,C} X+ is now {A,C,B} X+ is now {A,C,B,D} X+ is now {A,C,B,D,E} X+ is still {A,C,B,D,E} X+ is still {A,C,B,D,E} X+ is still {A,C,B,D,E} </pre>
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The closure of {A,C} over F is {A,B,C,D,E}=R which is not a proper subset of R. {A,C} is a super key for R and may be a candidate key for R.

To see if determinate B is a superkey using attribute closure algorithm

<pre> X+ := B oldX+:= {B} for A -> B, A is a not a subset of X+ for AC -> D, AC is not a subset of X+ for B -> E, B is a subset of X+ so E is added to X+ oldX+ != X+ repeat oldX+:= {B,E} for A -> B, A is a subset of X+ for AC -> D, AC is not a subset of X+ for B -> E, B is a subset of X+, E is already in X+ X+ = oldX+ stop </pre>	<pre> X+ is {B} X+ is still {B} X+ is still {B} X+ is now {B,E} X+ is still {B,E} X+ is still {B,E} X+ is still {B,E} </pre>
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The closure of {B} over F is {B,E} which is a proper subset of R. Therefore, {B} is *not* a superkey of R and *cannot* be a candidate key for R.

Using attribute closure algorithm , I have found one superkey {A,C} for R.

A candidate key is a superkey such that no proper subset is a superkey within the relation. So, I need to check the proper subsets of the superkey. The proper subsets of {A,C} are

- the null set
- {A}
- {C}

Examining this possible superkeys, I note that:

- A candidate key, which may be chosen to be a primary key, cannot be null.
- I have already discovered above that {A} is not a superkey for R.

So I need to consider only {C} as a possible superkey.

Using attribute closure algorithm to see if C is a superkey

<pre>X+ := C oldX+ := {C} for A -> B, A is not a subset of X+ for AC -> D, AC is not a subset of X+ for B -> E, B is not a subset of X+ oldX+ = X+ stop</pre>	<pre>X+ is {C} X+ is still {C} X+ is still {C} X+ is still {C}</pre>
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The closure of {C} over F is {C} which is a proper subset of R. Therefore, {C} is *not* a superkey of R and *cannot* be a candidate key for R.

I have discovered that no proper subsets of {A,C} are superkeys of R, so {A,C} is a candidate key for R.

I know that any attribute which is in **none** of the determinants in F *cannot* be a candidate key.

Are there any other candidate keys for R?

I know that any attribute which is in **none** of the determinants in F *cannot* be a candidate key.

So {D}, {E} and {DE} can't be the candidate keys. If we would like to see an exhaustive application of attribute closure algorithm, we can find all the subsets of R and apply the algorithm to each subset. I have found only one candidate key {A,C} which I must select as the primary key for R. Therefore, the relational schema for R is R (A, C, [pk] B, D, E).

Alternative method: We have : F = {A -> B, AC -> D, B -> E}. Since the attributes A and C never appears on the R.H.S of any FD in F, both A and C must be part of the key. Both attributes D and E never appears on the L.H.S. of any FD in F, but appears on the RHS of any FD, both D and E must not be the part of the key. As A, C are the part of the keys, both A and C can't be the keys but their combination {AC} may be the key. So we find the closure of the attribute {AC} as follows:

$$AC^+ = AC \text{ (as } AC \rightarrow AC, \text{ by reflexivity rule)}$$

=ABC (as $A \rightarrow B$, by augmentation rule)

=ABCD (as $AC \rightarrow D$, by augmentation rule)

=ABCDE (as $B \rightarrow E$, by augmentation rule)

=R

$AC^+ = ABCDE = R \Rightarrow \{AC\}$ is a super key and can be a candidate key if all the proper subsets are not super keys (i.e. closure of all proper subsets of $\{AC\}$ are not equal to $R = ABCDE$). All the proper subsets of $\{AC\}$ are null set, $\{A\}$, $\{C\}$. Null set can't be a key because super key/candidate key can't be null. Now the closure of $\{A\}$ is given below:

$A^+ = A$ (as $A \rightarrow A$)

=AB (as $A \rightarrow B$)

=ABE (as $B \rightarrow E$) $\neq R \Rightarrow \{A\}$ is not unique $\Rightarrow \{A\}$ is not a super key.

$C^+ = C$ (as $C \rightarrow C$) $\neq R \Rightarrow \{C\}$ is not unique $\Rightarrow \{C\}$ is not a super key.

So $\{AC\}^+ = R$, $\{A\}^+ \neq R$, $\{C\}^+ \neq R$ i.e. $\{AC\}$ is a super key such that all of its proper subsets are not super keys $\Rightarrow \{AC\}$ is a candidate key. (Answer).

Question2: Let $R(ABCDEFGH)$ satisfy the following functional dependencies: $\{A \rightarrow B, CH \rightarrow A, B \rightarrow E, BD \rightarrow C, EG \rightarrow H, DE \rightarrow F\}$. Which of the following FD is also guaranteed to be satisfied by R ?

1. $BFG \twoheadrightarrow AE$

2. $ACG \twoheadrightarrow DH$

3. $CEG \twoheadrightarrow AB$

Hint: Compute the closure of the LHS of each FD that you get as a choice. If the RHS of the candidate FD is contained in the closure, then the candidate follows from the given FDs, otherwise not.

Solution2: FDs: $\{A \rightarrow B, CH \rightarrow A, B \rightarrow E, BD \rightarrow C, EG \rightarrow H, DE \rightarrow F\}$

1. $BFG \twoheadrightarrow AE$??? **Incorrect:** $BFG^+ = BFGEH$, which includes E, but not A.

2. $ACG \twoheadrightarrow DH$??? **Incorrect:** $ACG^+ = ACGBE$, which includes neither D nor H.

3. $CEG \twoheadrightarrow AB$??? **Correct:** $CEG^+ = CEGHAB$, which contains AB.

Question 3: Which of the following could be a key for $R(A,B,C,D,E,F,G)$ with functional dependencies $\{AB \rightarrow C, CD \rightarrow E, EF \rightarrow G, FG \rightarrow E, DE \rightarrow C, \text{ and } BC \rightarrow A\}$. 1. BDF, 2. ACDF, 3. ABDFG, 4. BDFG

Solution 3: $F = \{AB \rightarrow C, CD \rightarrow E, EF \rightarrow G, FG \rightarrow E, DE \rightarrow C, \text{ and } BC \rightarrow A\}$

1. BDF ??? **No.** $BDF^+ = BDF$

2. ACDF ??? **No.** $ACDF^+ = ACDFEG$ (The closure does not include B)

3. ABDFG ??? **No.** This choice is a super key, but it has proper subsets that are also keys. (e.g. $BDFG^+ = BDFGECA$)

4. BDFG ??? . BDFG⁺ = ABCDEFG

- Check if any subset of BDFG is a key:
 - Since B, D, F never appear on the RHS of the FDs, they must form part of the key.
 - BDF⁺ = BDF ← Not key.
 - So, BDFG is the minimal key, hence the candidate key.

Question4: Consider $R = \{A, B, C, D, E, F, G, H\}$ with a set of FDs $F = \{CD \rightarrow A, EC \rightarrow H, GH \rightarrow AB, C \rightarrow D, EG \rightarrow A, H \rightarrow B, BE \rightarrow CD, EC \rightarrow B\}$. Find all the candidate keys of R.

Solution 4: $F = \{CD \rightarrow A, EC \rightarrow H, GH \rightarrow AB, C \rightarrow D, EG \rightarrow A, H \rightarrow B, BE \rightarrow CD, EC \rightarrow B\}$

- First, we notice that:
 - EFG never appear on RHS of any FD. So, EFG must be part of ANY key of R
 - A never appears on LHS of any FD, but appears on RHS of some FD. So, A is not part of ANY key of R
 - We now see if EFG is itself a key...
 - EFG⁺ = EFGA \neq R; So, EFG alone is not key
 - Checking by adding single attribute with **EFG** (except **A**):
 - BEFG⁺ = ABCDEFGH = R; it's a key [BE \rightarrow CD, EG \rightarrow A, EC \rightarrow H]
 - CEFG⁺ = ABCDEFGH = R; it's a key [EG \rightarrow A, EC \rightarrow H, H \rightarrow B, BE \rightarrow CD]
 - DEFG⁺ = ADEFG \neq R; it's not a key [EG \rightarrow A]
 - EFGH⁺ = ABCDEFGH = R; it's a key [EG \rightarrow A, H \rightarrow B, BE \rightarrow CD]
 - If we add any further attribute(s), they will form the superkey. Therefore, we can stop here searching for candidate key(s).
 - Therefore, candidate keys are: {BEFG, CEFG, EFGH}

Exercise 5: Consider $R = \{A, B, C, D, E, F, G\}$ with a set of FDs $F = \{ABC \rightarrow DE, AB \rightarrow D, DE \rightarrow ABCF, E \rightarrow C\}$. Find all the candidate keys of R.

Solution 5: $F = \{ABC \rightarrow DE, AB \rightarrow D, DE \rightarrow ABCF, E \rightarrow C\}$

- First, we notice that:
 - G never appears on RHS of any FD. So, G must be part of ANY key of R.
 - F never appears on LHS of any FD, but appears on RHS of some FD. So, F is not part of ANY key of R
 - G⁺ = G \neq R So, G alone is not a key!
 - Now we try to find keys by adding more attributes (except F) to G
 - Add LHS of FDs that have only one attribute (E in E \rightarrow C):
 - GE⁺ = GEC \neq R
 - Add LHS of FDs that have two attributes (AB in AB \rightarrow D and DE in DE \rightarrow ABCF):
 - GAB⁺ = GABD
 - GDE⁺ = ABCDEFG = R; [DE \rightarrow ABCF] It's a key!
 - Add LHS of FDs that have three attributes (ABC in ABC \rightarrow DE), but not taking super set of GDE:
 - GABC⁺ = ABCDEFG = R; [ABC \rightarrow DE, DE \rightarrow ABCF] It's a key!
 - GABE⁺ = ABCDEFG = R; [AB \rightarrow D, DE \rightarrow ABCF] It's a key!
 - If we add any further attribute(s), they will form the superkey. Therefore, we can stop here.
 - The candidate key(s) are {GDE, GABC, GABE}

Exercise 6: Consider $R = \{A, B, C, D, E\}$ with a set of FDs $F = \{AB \rightarrow DE, C \rightarrow E, D \rightarrow C, E \rightarrow A\}$

And we wish to project those FDs onto relation $S = \{A, B, C\}$. Give the FDs that hold in S

- **Hint:** We need to compute the closure of all the subsets of $\{A, B, C\}$, except the empty set and ABC. Then, we ignore the FDs that are trivial and those that have D or E on the RHS

Solution6: $R = \{A, B, C, D, E\}$ $F = \{AB \rightarrow DE, C \rightarrow E, D \rightarrow C, E \rightarrow A\}$ $S = \{A, B, C\}$

- $A^+ = A$
- $B^+ = B$
- $C^+ = CEA$ [$C \rightarrow E, E \rightarrow A$]
- $AB^+ = ABDEC$ [$AB \rightarrow DE, D \rightarrow C$]
- $AC^+ = ACE$ [$C \rightarrow E$]
- $BC^+ = BCEAD$ [$C \rightarrow E, E \rightarrow A, AB \rightarrow DE$]
- We ignore D and E.
- So, the FDs that hold in S are:
- $\{C \rightarrow A, AB \rightarrow C, BC \rightarrow A\}$
- (Note: $BC \rightarrow A$ can be ignored because it follows logically from $C \rightarrow A$)

Exercise7: If $R = (A, B, C, D, E)$, $F = \{B \rightarrow CD, D \rightarrow E, B \rightarrow A, E \rightarrow C\}$ then check for the followings?

1. Is $B \rightarrow E$ in F^+ ?
2. Is D a key for R?
3. Is AD a key for R?
4. Is AD a candidate key for R?
5. Is ADE a candidate key for R?

Solution 7.1. $B^+ = ABCDE = R$ ($B \rightarrow CD, D \rightarrow E, B \rightarrow A$) $\Rightarrow B \rightarrow ABCDE \Rightarrow B \rightarrow E \in F^+$
 2. $D^+ = DEC$ ($D \rightarrow E, E \rightarrow C$) $\neq R \Rightarrow D$ is not a key for R.
 3. $AD^+ = ABCDE = R$ ($AD \rightarrow B, B \rightarrow CD, D \rightarrow E$) $\Rightarrow AD$ is a key for R.
 4. $AD^+ = ABCDE = R$, $A^+ = A \neq R$ and $D^+ = DEC$ ($D \rightarrow E, E \rightarrow C$) $\neq R$
 $\Rightarrow AD$ is a candidate key for R.
 5. $\{AD\}$ is a key $\Rightarrow \{ADE\}$ is a super key but not a candidate key.

Exercise8: If $R(ABCDE)$ be a relation with a set of functional dependencies $F(A \rightarrow BC, CD \rightarrow E, B \rightarrow D, E \rightarrow A)$ then find all candidate keys of R.

Answer8: Here all the attributes of R are present in both L.H.S. and R.H.S of F. Hence we can't compute all the candidate keys easily using attribute closure algorithm.

$A^+ = A$ (as $A \rightarrow A$) $= ABC$ (as $A \rightarrow BC$) $= ABCD$ (as $B \rightarrow D$) $= ABCDE$ (as $CD \rightarrow E$) $= R$
 $\Rightarrow \{A\}$ is the candidate key.

$CD^+ = CD$ (as $CD \rightarrow CD$) $= CDE$ (as $CD \rightarrow E$) $= CDEA$ (as $E \rightarrow A$) $= CDEABC$ (as $A \rightarrow BC$) $= R$,
 $C^+ = C \neq R$ and $D^+ = D \neq R \Rightarrow \{CD\}$ is a candidate key.

$B^+ = B$ (as $B \rightarrow B$) $= BD$ (as $B \rightarrow D$) $\neq R \Rightarrow \{B\}$ is not a candidate key.

$E^+ = E$ (as $E \rightarrow E$) $= EA$ (as $E \rightarrow A$) $= EABC$ (as $A \rightarrow BC$) $= EABCD$ (as $B \rightarrow D$) $= R$
 $\Rightarrow \{E\}$ is a candidate key.
 $\Rightarrow E \rightarrow ABCDE$

$B \rightarrow D \Rightarrow BC \rightarrow CD$ (by augmentation rule).

$CD \rightarrow E, E \rightarrow ABCDE \Rightarrow CD \rightarrow ABCDE$ (by transitivity rule)

Again $BC \rightarrow CD, CD \rightarrow ABCDE \Rightarrow BC \rightarrow ABCDE$ (by transitivity rule) $\Rightarrow BC^+ = ABCDE = R$

$\Rightarrow \{BC\}$ is a candidate key as $B^+ = B$ (as $B \rightarrow B$) $= BD$ (as $B \rightarrow D$) $\neq R$ and $C^+ = C \neq R$.

So $\{A\}, \{BC\}, \{CD\}$ and $\{E\}$ are the candidate keys of R.

Exercise9: $F = \{A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC\}$. Test whether $F \models BCD \rightarrow H$ or not?

Answer9: $BCD^+ = BCDE$ (as $CD \rightarrow E$)

$= BCDEAH$ (as $D \rightarrow AEH$)

$= ABCDEH = R \Rightarrow H \subseteq BCD^+ (= ABCDEH) \Rightarrow F \models BCD \rightarrow H \Rightarrow BCD \rightarrow H \in F^+$

Exercise 10: If $R(ABCDEH)$ and $F = \{A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC\}$, then find the candidate keys of R .

Answer 10: $A^+ = ABC$ (as $A \rightarrow BC$) $\neq R$

$CD^+ = CDE$ (as $CD \rightarrow E$)

$= CDAEH$ (as $D \rightarrow AEH$)

$= ABCDEH$ (as $DH \rightarrow BC$) $= R$ and $C^+ \neq R, D^+ = DAEH = DABCEH = ABCDEH = R$

$\{CD\}$ is the super key and $\{D\}$ is the candidate key

Again $A \rightarrow BC$ and $D \rightarrow AEH \Rightarrow AD \rightarrow ABCEH \Rightarrow AD^+ = ABCDEH = R$

Since $A^+ = ABC$ (as $A \rightarrow BC$) $\neq R$ and $D^+ = DAEH = DABCEH = ABCDEH = R$

$\Rightarrow \{AD\}$ is the super key

Now $E \rightarrow C, D \rightarrow AEH \Rightarrow ED \rightarrow CEH \Rightarrow ED^+ = EDCAEH = ABCDEH = R$ and $E^+ = EC \neq R,$

$D^+ = R \Rightarrow \{ED\}$ is a super key.

$(ABH)^+ = ABCDEH = R, (DH)^+ = ABCDEH = R \Rightarrow \{ABH\}$ and $\{DH\}$ are super keys.

A Key Finding Algorithm:

1. $K := R$ (*K is initialized as a super key*)

2. for each A in K do

3. { if $(K - A)^+_F = R$ then $K := K - A$ }

(* F is the set of functional dependencies and $(K - A)^+_F$ is the closure of $(K - A)$ with respect to F^*)

Example 1: If $R(A, B, C)$ and $F = \{A \rightarrow B, B \rightarrow C\}$. Find the key of R

Solution: $K = ABC$

$(K - A)^+ = (BC)^+ = BC$ (as $B \rightarrow C$)

$(K - A)^+$ does not contain all attributes of $R(A, B, C)$

i.e. $BC \neq ABC \Rightarrow K = ABC$

$(K - B)^+ = (ABC - B)^+ = (AC)^+ = ABC$ (as $A \rightarrow B$ and $B \rightarrow C$)

$(K - B)^+$ contains all the attributes of $R \Rightarrow K = K - B = AC$

$(K - C)^+ = (AB)^+ = ABC$ (as $A \rightarrow B$ and $B \rightarrow C$)

$(K - C)^+$ contains all the attributes of $R \Rightarrow K = K - C = AC - C = A$

So the key of relation $R(A, B, C)$, with the given functional dependencies, is the key $K = \{A\}$.

• Find a key for each of the following questions:

- $R = \{A, B, C\}, F = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$
- $R = \{D, E, F\}, F = \{D \rightarrow E, E \rightarrow D, D \rightarrow F\}$
- $R = \{G, H, I\}, F = \{G \rightarrow H, G \rightarrow I\}$
- $R = \{C, E, J\}, F = \{CE \rightarrow J\}$
- $R = \{C, E, G\}, F = \{\}$
- $R = \{I, L\}, F = \{I \rightarrow L\}$
- $R(A, B, C, D, E, F, G, H, I, J), F = \{AB \rightarrow C, A \rightarrow DE, B \rightarrow F, F \rightarrow GH, D \rightarrow IJ\}$.

Inferring Additional Keys: If $X = \{A_1, \dots, A_i, \dots, A_k\}$ be a relation schema (R, F) key, where $X \subseteq R$, and $W \rightarrow Z \in F$ ($Z \not\subseteq W, Z \subseteq X$ and $W \not\subseteq X$) then $Y = (X - Z) \cup W$ is also a relation schema (R, F) key,

e.g. $R = \{A, B, C, D\}, F = \{AB \rightarrow C, C \rightarrow D, D \rightarrow B\}$. $X = AB$ is a key of (R, F) because

$(AB)^+ = ABCD = R$. since $D \rightarrow B \in F, Y = AD$ is another key of (R, F) because $(AC)^+ = ABCD = R$.

since $C \rightarrow D \in F, Z = AC$ is a key of (R, F) , as well.

Covers:

Definition: F covers G if every FD in G can be inferred from F (i.e., if $G^+ \subseteq F^+$)

Equivalence of sets of functional dependencies:

Definition: F and G are equivalent if F covers G and G covers F . (i.e. $F^+ = G^+$).

Two sets of FDs F and G are equivalent if: -

every FD in F can be inferred from G, and every FD in G can be inferred from F.

Example: Show that $F = \{ A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H \}$ and $G = \{ A \rightarrow CD, E \rightarrow AH \}$ are equivalent or not? **Solution:** In F, we have $A \rightarrow C \Rightarrow AA \rightarrow AC$ (by augmentation rule) $\Rightarrow A \rightarrow AC$ (as $AA=A$).

$A \rightarrow AC, AC \rightarrow D \Rightarrow A \rightarrow D$ (by transitivity rule). Again $A \rightarrow C, A \rightarrow D \Rightarrow A \rightarrow CD$ (by union rule).

In F, we have $E \rightarrow AD \Rightarrow E \rightarrow A$ and $E \rightarrow D$ (by decomposition rule).

Now $E \rightarrow A, E \rightarrow D \Rightarrow E \rightarrow AD$ (by union rule). Thus $G = \{ A \rightarrow CD, E \rightarrow AH \}$ is inferred from F.

In G, we have $A \rightarrow CD \Rightarrow A \rightarrow C$ and $A \rightarrow D$ (by decomposition rule).

Now, we have $A \rightarrow D \Rightarrow AC \rightarrow D$ (by augmentation rule). Again in G, $E \rightarrow AH \Rightarrow E \rightarrow A, E \rightarrow H$.

We have $E \rightarrow A, A \rightarrow D \Rightarrow E \rightarrow D$ (by transitivity rule). Again $E \rightarrow A, E \rightarrow D \Rightarrow E \rightarrow AD$ (by union rule). Thus $F = \{ A \rightarrow C, AC \rightarrow D, E \rightarrow AD, E \rightarrow H \}$ is inferred from G. Hence F and G are equivalent.

Non redundant cover:

Definition: If we have a set of FDs F, then we say that it is nonredundant if no proper subset F' of F is equivalent to F i.e. no F' exists such that $F'^+ = F^+$.

Non redundant cover algorithm:

Input: A set of FDs F.

Output: A non redundant cover of F.

G:=F; /* Initialize G to F*/

for each FD X→Y in G do

if each FD X→Y in G do if X→Y in G do

if X→Y belongs to {F-(X→Y)}+ then F:= { F-(X→Y)};

G:=F /* G is the non redundant cover of F*/

Example: If $F = \{ A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC \}$. Find the redundant and non redundant cover of F.

Solution: We have $D \rightarrow AEH \Rightarrow D \rightarrow E$ (by decomposition rule)

Now $D \rightarrow E \Rightarrow CD \rightarrow E$ (by augmentation rule)

So **CD→E is derived from D→AEH.**

Again $D \rightarrow AEH$ (given) $\Rightarrow D \rightarrow A$ (by decomposition rule)

Now $D \rightarrow A, A \rightarrow BC$ (given) $\Rightarrow D \rightarrow BC$ (by transitivity rule)

So $D \rightarrow BC \Rightarrow DH \rightarrow BC$ (by augmentation rule).

Hence **DH→BC is derived from D→AEH and A→BC.**

Redundant FDs = { CD→E, DH→BC }

Non redundant FDs $F = \{ F - (CD \rightarrow E, DH \rightarrow BC) \} = \{ A \rightarrow BC, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD \}$

Extraneous attribute:

- An attribute A of a functional dependency is said to be extraneous if we can remove it without changing the closure of the set of functional dependencies.
- If F is a set of functional dependencies and the functional dependency X→Y in F.
 - Attribute A is extraneous in X if $A \in X$, and $F = (F - \{ X \rightarrow Y \}) \cup \{ (X - A) \rightarrow Y \}$
 - Attribute A is extraneous in Y if $A \in Y$, and the set of functional dependencies $(F - \{ X \rightarrow Y \}) \cup \{ X \rightarrow (Y - A) \} = F$

Canonical cover F_C : Canonical cover F_C is a set of dependencies such that F logically implies all dependencies in F_C and F_C logically implies all dependencies in F. ($F \models F_C$ and $F_C \models F$).

Properties of F_C :

- No functional dependency in F_C contains an extraneous attribute.
- Each left side of a functional dependency in F_C is unique. (i.e. there are no functional dependency in $X_1 \rightarrow Y_1$ and $X_2 \rightarrow Y_2$ in F_C such that $X_1 = X_2$).

Algorithm of Canonical cover for functional dependencies (FDs)F:

repeat

Use the union rule to replace any fds in F of the form $X_1 \rightarrow Y_1$ and $X_1 \rightarrow Y_2$ with $X_1 \rightarrow Y_1 Y_2$

Find a functional dependency X→Y with an extraneous attribute either in X or Y

If an extraneous attribute is found, delete it from X→Y

Until F doesn't change.

Problem: If $R(A,B,C)$, $F = \{ A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C \}$. Compute the canonical cover for F.

➤ Solution:

➤ There are two functional dependencies with the same set of attributes on the left side of the arrow A : $A \rightarrow BC, A \rightarrow B$ and we combine these two FDs into $A \rightarrow BCB \Rightarrow A \rightarrow BC$ (as $BB=B$).

$A \rightarrow BC \Rightarrow A \rightarrow B, A \rightarrow C$ (By decomposition rule)

➤ A is extraneous in $AB \rightarrow C$ since $B \rightarrow C \Rightarrow AB \rightarrow C$ (By augmentation rule).

➤ C is extraneous in $A \rightarrow BC$ since $A \rightarrow B, B \rightarrow C \Rightarrow A \rightarrow C$ (By transitivity rule)

and $A \rightarrow B, A \rightarrow C \Rightarrow A \rightarrow BC$ (By union rule)

➤ Thus our canonical cover is $F_c = \{ A \rightarrow B, B \rightarrow C \}$.

Minimal Sets of FDs: A set of FDs is minimal if it satisfies the following conditions:

- (1) Every dependency in F has a single attribute for its RHS.
- (2) We cannot remove any dependency from F and have a set of dependencies that is equivalent to F.
- (3) We cannot replace any dependency $X \rightarrow A$ in F with a dependency $Y \rightarrow A$, where Y is proper-subset-of X and still have a set of dependencies that is equivalent to F.

Minimal cover of a set of FDs: A minimal cover of a set of functional dependencies E is a minimal set of dependencies (in the standard canonical form and without redundancy) that is equivalent to E.

- Every set of FDs has an equivalent minimal set
- There can be several equivalent minimal sets

Algorithm for finding a minimal cover F for a set of functional dependencies E

1. Set $F := E$.
2. Replace each functional dependency $X \rightarrow \{A_1, A_2, \dots, A_n\}$ in F by n functional dependencies $X \rightarrow A_1, X \rightarrow A_2, \dots, X \rightarrow A_n$ (i.e. each FD in F is simple)
3. for each functional dependency $X \rightarrow A$ in F
 - for each attribute B that is an element of X
 - if $\{F - \{X \rightarrow A\}\} \cup \{X - \{B\} \rightarrow A\}$ is equivalent to F, then replace $X \rightarrow A$ with $(X - \{B\}) \rightarrow A$ in F.
4. For each remaining functional dependency $X \rightarrow A$ in F if $\{F - \{X \rightarrow A\}\}$ is equivalent to F, then remove $X \rightarrow A$ from F.

Problem: Find the minimal cover of the following functional dependencies:

$$F = \{ A \rightarrow BC, CD \rightarrow E, E \rightarrow C, D \rightarrow AEH, ABH \rightarrow BD, DH \rightarrow BC \}$$

Solution: Convert all FDs into simple FDs by using decomposition rule (IR4):

$$\begin{aligned} A \rightarrow BC &\Rightarrow A \rightarrow B, A \rightarrow C \\ D \rightarrow AEH &\Rightarrow D \rightarrow A, D \rightarrow E, D \rightarrow H \\ ABH \rightarrow BD &\Rightarrow ABH \rightarrow B, ABH \rightarrow D \\ DH \rightarrow BC &\Rightarrow DH \rightarrow B, DH \rightarrow C \end{aligned}$$

So $F = \{ A \rightarrow B, A \rightarrow C, CD \rightarrow E, E \rightarrow C, D \rightarrow A, D \rightarrow E, D \rightarrow H, ABH \rightarrow B, ABH \rightarrow D, DH \rightarrow B, DH \rightarrow C \}$

But we have already proved that $CD \rightarrow E$ and $DH \rightarrow BC$ are redundant FDs. So remove them from F. Hence $F = \{ A \rightarrow B, A \rightarrow C, E \rightarrow C, D \rightarrow A, D \rightarrow E, D \rightarrow H, ABH \rightarrow B, ABH \rightarrow D \}$.

Consider $ABH \rightarrow B$ and $ABH \rightarrow D$.

$$\begin{aligned} A \rightarrow B &\Rightarrow AH \rightarrow B \text{ (By IR2: Augmentation rule)} \\ &\Rightarrow ABH \rightarrow BB \\ &\Rightarrow ABH \rightarrow B \end{aligned}$$

$\Rightarrow ABH \rightarrow B$ and it is redundant & can be derived from $A \rightarrow B$ and hence remove it from F.

$AH \rightarrow D \Rightarrow ABH \rightarrow D$ (By augmentation rule) and it is redundant and can be derived from $AH \rightarrow D$ and hence remove it from F. So the minimal cover of F is given by

$$F = \{ A \rightarrow B, A \rightarrow C, E \rightarrow C, D \rightarrow A, D \rightarrow E, D \rightarrow H, AH \rightarrow D \}.$$

Functional dependencies and Keys:

Definition: If $R(A_1 A_2 A_3 \dots A_n)$ be a relation schema, F be the set of functional dependencies, then a key 'K' of R is a subset of R such that $K \rightarrow A_1 A_2 A_3 \dots A_n \in F^+$ and for any $Y \subseteq A_1 A_2 A_3 \dots A_n$ doesn't belongs to F^+ .

Full functional dependency (FFD):

Definition : If X and Y are attributes of a relation R then Y is fully functionally dependent on X if

Y is functionally dependent on X but not on any proper subset of X.

or

A FD $X \rightarrow Y$ is FFD where removal of any attribute from X means the FD does not hold any more

i.e. Y is FFD on X if $X \rightarrow Y$ but $Z (\subseteq X)$ doesn't determine Y.

Example: 1) SUPPLIER

SNO	SNAME	STATUS	CITY
s ₁	Suneet	20	Quadian
s ₂	Ankit	10	Amritsar
s ₃	Amit	30	Amritsar

(SNO,STATUS)->CITY but SNO->CITY , STATUS->CITY

{CITY} is FD on (SNO,STATUS) but {CITY} is not FFD on {SNO,STATUS}

because {CITY} is FD on {SNO} and {CITY}.

Example:2)

SHIPMENT

SNO	PNO	QTY
S1	P1	270
S1	P2	300
S1	P3	700
S2	P1	270
S2	P2	700
S3	P2	300

{QTY} is FFD on (SNO,PNO) because

(i) (SNO,PNO)->QTY

(ii) (a) SNO doesn't determine QTY and (b)PNO doesn't determine QTY

Prime and nonprime attribute:

- A **Prime attribute** must be a member of *some candidate key/primary key*.
- A **Nonprime attribute** is not a prime attribute— that is, it is not a member of any candidate key.
- Example: R(ABCDEH), F={ A->BC, CD->E, E->C, AH->D}
 - => (AH)⁺ = AHD (as AH->D)
 - =ABCHD (as A->BC)
 - =ABCHDE(as CD->E)
 - =ABCDEH=R
 - =>AH->ABCDEH
 - =>{AH} is the candidate key of R
 - => A and H are prime attributes whereas B,C,D,E and H are nonprime attributes.

Partial dependency:

Definition: If R be a relation schema with the functional dependencies F defined on the attributes of R

and K as a candidate key, if X is a proper subset of K ($X \subsetneq K$) and if $F \models X \rightarrow A$ then A is said to be partially dependent on K.

i.e. Attribute Y is partially functionally dependent on X₁X₂(=K=key) if $X_1 \rightarrow Y$ or $X_2 \rightarrow Y$.

i.e. If Any attribute A is functionally dependent on part of the key(K₁) (i.e.K₁->A), then A is partially functionally dependent on the key.

i.e. A FD $X \rightarrow Y$ is partial dependency where removal of any attribute from X means the FD holds any more.

Example: R=(A,B,C,D), F={AB->C, B->D}

(AB)⁺ = ABC (as AB->C)

= ABCD(as B->D)=R

AB is the key of R.

But given B->D and B ⊆ AB(=key)

D is partially functionally dependent on the key AB.

Multi valued dependency(MVD):

Definition: If X, Y and Z are three attributes of a relation R such that for each value of X there is a set of values for Y (X->->Y i.e. X multi determines Y) and set of values for Z (X->->Z i.e. Y multi determines Z) then we say that X multi determines Y and Z denoted by X->->Y|Z or Y and Z are multi valued dependency on X, where the set of values for Y and Z are independent of each other.

Or

- A multi valued dependency X->->Y specified on relation schema R, where X and Y are both subsets of R, specifies the following constraint on any relation state r of R: if two tuples t1 and t2 exist in r such that t1[X]=t2[X], then two tuples t3 and t4 should also exist in r with the following properties:
 - t3[X]=t4[X]=t1[X]=t2[X]
 - t3[Y]=t1[Y] and t4[Y]=t2[Y]
 - t3[Z]=t2[Z] and t4[Z]=t1[Z]

Trivial and nontrivial MVD:

Definition: A MVD X->-> Y in R is called a trivial MVD if (a) Y ⊆ X or (b) XUY=R.

A MVD X->-> Y in R is called a nontrivial MVD if neither (a) nor (b) is satisfied.

- A trivial MVD doesn't specify a constraint on a relation while a non trivial MVD does specify a constraint.
- There are two kinds of trivial MVDs:
 - (a) X->-> Null set, where null set contains empty set of attributes.
 - (b) X->-> A-X, where A comprises all the attributes in a relation.
- Relations containing nontrivial MVDs tend to be all key relations i.e their key is all their attributes taken together.

Theory of MVD (or inference rules or FD and MVD): If X, Y, Z and W are subsets of relation schema R={A1, A2, ..., An}, then we have the followings rules for FD and MVD.

- 1) IR1-Reflexivity for FDs: Y ⊆ X => X->Y.
- 2) IR2-Augmentation for FDs: X->Y => XZ->YZ or XZ->Y
- 3) IR3-Transitivity for FDs: X->Y, Y->Z => X->Z
- 4) IR4-Complementation for MVDs: X->->Y => X->->(R-XUY)
- 5) IR5-Augmentation for MVD: X->Y, Z ⊆ W => WX->->YZ
- 6) IR6-Transitivity for MVDs: X->->Y, Y->->Z => X->->(Z-Y)
- 7) IR7-Replication rule for FD to MVD: X->Y => X->->Y
- 8) IR8-Coalescence rule for FDs and MVDs: If X->->Y and there exist W with the properties that
 - (a) W ∩ Y = Null set, (b) W->Z, and (c) Y ⊆ Z, then X->Z.
- 9) IR9-Reflexivity for MVDs: Y ⊆ X => X->->Y or X->->X.
- 10) IR10-Intersection for MVDs: X->->Y, X->->Z => X->->Y ∩ Z
- 11) IR11-Pseudo-transitivity for MVDs: X->->Y, YW->->Z => XW->->(Z-WY)
- 12) IR12-Mixed(Pseudo) transitivity for MVDs: X->->Y, XY->->Z => X->->(Z-Y)
- 13) IR13-Union rule for MVDs: X->->Y, X->->Z => X->->YZ
- 14) IR14-Difference rule for MVDs: X->->Y, X->->Z => X->->(Y-Z), X->->Z-Y
- 15) IR15-Decomposition/Projectivity rule for MVDs: X->->Y, X->->Z => X->->(Y ∩ Z), X->->(Y-Z) and X->->(Z - Y).
 - If D denotes a set of FDs and MVDs, then the closure D⁺ of D is the set of all FDs and MVDs logically implied by D.
 - The list of inference rules for FDs and MVDs are sound and complete.
 - Sound rules do not generate any dependencies that are not logically implied by D.
 - Complete rules allow us to generate all dependencies in D⁺.

Question on MVD: If $R=(A,B,C,G,H,I)$, $D=\{A \twoheadrightarrow B, B \twoheadrightarrow HI, CG \twoheadrightarrow H\}$. Find the several members of closure of D (D^+).

Answer: (i) $A \twoheadrightarrow B \Rightarrow A \twoheadrightarrow R-B-A=CGHI$ (By complementation rule of MVD)
 $\Rightarrow A \twoheadrightarrow CGHI$.

(ii) $A \twoheadrightarrow B, B \twoheadrightarrow HI \Rightarrow A \twoheadrightarrow HI-B$ (By transitivity rule of MVD)
 $\Rightarrow A \twoheadrightarrow HI$. ($HI-B=HI$)

(iii) $B \twoheadrightarrow HI, H \subseteq HI$ and $CG \twoheadrightarrow H$ and $CG \cap HI = \text{null set} \Rightarrow B \twoheadrightarrow H$
 (By Coalescence rule of MVD)

(iv) $A \twoheadrightarrow CGHI, A \twoheadrightarrow HI \Rightarrow CGHI-HI=CG$ i.e. $A \twoheadrightarrow CG$ (By difference rule of MVD)

Universal relation schema(R): The universal relational schema $R=\{A_1,A_2,\dots,A_n\}$ includes all the attributes of the database and every attribute name is unique.

Decomposition: Decomposition is the process of splitting a relation into its projections that will not be disjoint. The decomposition (D) of a universal relation schema $R=\{A_1,A_2,A_3,\dots,A_n\}$ is its replacement by a set of relation schema $s D=\{R_1, R_2,\dots,R_n\}$ such that $R_i \subseteq R$ ($1 \leq i \leq m$) and $R_1 \cup R_2 \cup R_3 \cup \dots = R$ (**attribute preservation** condition of decomposition).

- Decomposition helps in eliminating some of the problems of bad design such as redundancy, inconsistencies and anomalies.
- When required, the DBA decides to decompose an initial set of relation schemes.

Desirable properties of decomposition:

- Attribute preservation
- Lossless-join decomposition
- Dependency preservation
- Lack of redundancy

Types of decomposition:

- Lossy (or Lossy join) decomposition
- Lossless (or non additive or non loss) join decomposition

Lossy (or Lossy join) decomposition:

- It is a property of decomposition, which ensures that spurious (extra) tuples are generated when relations are reunited through a natural join operation.
- The decomposition $R(A,B,C)$ into R_1 and R_2 is lossy when the natural join of R_1 and R_2 does not yield the same relation as in R but yield spurious (extra) tuple(s).
- **Example:** In table 1 i.e. $R(ABC)$, $A \twoheadrightarrow B, C \twoheadrightarrow B$. $R(ABC)$ is decomposed to $R_1(AB)$ and $R_2(BC)$ and then $R_3(ABC)$ is the natural join of $R_1(AB)$ and $R_2(BC)$ and in $R_3(ABC)$ neither $B \twoheadrightarrow A$ nor $B \twoheadrightarrow C$ is true. Also $R_3(ABC) \neq R(ABC)$ because R_3 contains some spurious (extra) tuples and so the decomposition of $R(ABC)$ into $R_1(AB)$ and $R_2(BC)$ is a lossy decomposition.

R: ABC		
A	B	C
A1	B1	C1
A3	B1	C1
A2	B2	C3
A4	B2	C4

R1:AB

A	B
A1	B1
A3	B1
A2	B2
A4	B2

R2:BC	
B	C
B1	C1
B1	C2
B2	C3
B2	C4

R3:ABC		
A	B	C
A1	B1	C1
A1	B1	C2
A3	B1	C1
A3	B1	C2
A2	B2	C4
A2	B2	C3
A4	B2	C3
A4	B2	C4

Lossless (or non additive or non loss) join decomposition:

- The word loss in lossless refers to loss of information.
- It is a property of decomposition which ensures that no spurious tuples are generated when relations are reunited through a natural join operation.
- The decomposition of $R(X,Y,Z)$ into $R1(X,Y)$ and $R2(X,Z)$ is lossless if for attributes X, common to both $R1$ and $R2$, either $X \rightarrow Y$ or $X \rightarrow Z$.
- A decomposition $D=\{R1,R2,R3,\dots,Rm\}$ of a relation R has lossless(non additive) join property with respect to the set of dependencies F on R if, for every relation state r of R that satisfies F , the following holds: natural join of the projections of all the relations in D from $R = R$.
- Example: Relation $R(ABC)$ decomposed into $R1(AB)$ and $R2(BC)$ is lossless because the natural join of $R1$ and $R2 = R3=R$

R: ABC		
A	B	C
A1	B1	C1
A2	B2	C2
A3	B2	C1
A4	B1	C2

R1:AB	
A	B
A1	B1
A2	B2
A3	B2
A4	B1

R2:BC	
B	C
B1	C1
B2	C2
B3	C1
B4	C2

R3:ABC		
A	B	C
A1	B1	C1
A2	B2	C2
A3	B2	C1
A4	B1	C2

